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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission

10 + 9
references

Application Number

09/904,163

Filing Date

July 11, 2001

First Named Inventor

Edwin FONTECHA

Group Art Unit

2874

Examiner Name

M. Connelly-Cushwa

Attorney Docket No.

373722001822

ENCLOSURES (check all that apply)

Fee Transmittal Form

Assignment Papers
(for an Application)

After Allowance Communication to Group

Fee Attached

Drawing(s)

Appeal Communication to Board of Appeals and Interferences

Amendment / Reply

Licensing-related Papers

Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

After Final

Petition

Proprietary Information

Affidavits/declarations

Petition to Convert to a Provisional Application

Status Letter

Extension of Time Request

Power of Attorney, Revocation Change of Correspondence Address

Other Enclosure(s) (please identify below):

1. Copy of the International Search Report – 4 pages
2. PTO-1449 + copy – 2 pages
3. 9 References
4. Return Postcard

Express Abandonment Request

Terminal Disclaimer

Information Disclosure Statement – 3 pages

Request for Refund

CD, Number of CD(s) _____

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name

Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018

Individual Name

Johney U. Han (Registration No. 45,565)

Signature

Date

April 15, 2003

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 15, 2003.

Lisa Small

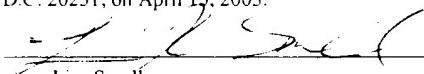
Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



PATENT
Docket No. 373722001822
Client Ref. A007 CIP3

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Lisa Small

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Edwin FONTECHA and Mohammad
Zubair KHAN

Serial No.: 09/904,163

Filing Date: July 11, 2001

For: APPARATUS AND METHOD TO
VERTICALLY ROUTE AND
CONNECT MULTIPLE OPTICAL
FIBERS

Examiner: M. Connelly-Cushwa

Group Art Unit: 2874

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in an International Search Report dated March 24, 2003 (copy attached) directed to a counterpart international or foreign application and were not previously submitted in an Information Disclosure Statement.

This Supplemental Information Disclosure Statement is submitted:

- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

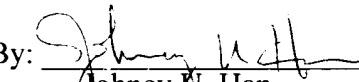
The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist;

(iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **373722001822**. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: April 15, 2003

Respectfully submitted,

By: 
Johnney W. Han
Registration No. 45,565

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Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(use several sheets if necessary)</i>		Docket Number 373722001822	Application Number 09/904,163
		Applicant Edwin FONTECHA and Mohammad Zubair KHAN	
		Filing Date July 11, 2001	Group Art Unit 2874
		Mailing Date April 15, 2003	

U.S. PATENT DOCUMENTS							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	08/24/1982	4,346,294	Albaugh et al.			
	2.	11/12/1991	5,064,268	Morency et al.			
	3.	04/28/1998	5,742,982	Dodd et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	4.	01/03/1996	EP 0 690 322	Europe			
	5.	08/26/1998	EP 0 860 721	Europe			
	6.	08/29/1997	FR 2 745 431 Esp@ce summary of document located at <<http://12.espacenet.com/espace net/abstract>>	France			Abstract
	7.	07/01/1981	JP 56080012	Japan			Abstract
	8.	08/15/2000	JP 2000227530	Japan			Abstract
	9.	08/15/2000	JP 2000227532	Japan			Abstract

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.